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April 15, 2008

United States District Court Southern District of New York 500 Pearl Street New York, NY 10007

Re: Independent Asset Management, LLC v. Daniel Zanger (1:07-CV-06431-JSR)

To the Clerk of Court:

We attempted to file this document last night multiple times. Due to a failure with the ECF system, however, we were unable to do so. We gave notice and a copy of the documents to opposing counsel.

Sincerely,

Craig Stuart Lanza

## UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

INDEPENDENT ASSET MANAGEMENT, LLC,

1:07-CV-06431-JSR

**ECF** 

Plaintiff,

- against -

DANIEL ZANGER,

Defendant.

## DECLARATION OF CRAIG STUART LANZA IN SUPPORT OF PLAINTIFF'S MOTION FOR SUMMARY JUDGMENT

I, Craig Stuart Lanza, declare under penalty of perjury pursuant to 28 U.S.C § 1746 as follows:

- 1. I am an attorney at the law firm Balestriere Lanza PLLC, attorneys for Plaintiff Independent Asset Management, LLC ("Plaintiff"). I submit this declaration to put before the Court true and correct copies of certain documents that are pertinent to Plaintiff's Motion for Summary Judgment.
- 2. Attached to this declaration, under the identified exhibit tabs, are true and correct copies of the following documents:

Exhibit A Deposition of Joe Porco, taken on March 3, 2008, at Jones Day.

Exhibit B Deposition of George Szele, taken on March 5, 2008, at Jones Day.

Exhibit C Deposition of Daniel Zanger, taken on March 21, 2008, at

Balestriere Lanza PLLC.

Exhibit D Agreement between Independent Asset Management and

Daniel Zanger, dated October 19, 2004.

Exhibit E Prime Broker Agreement between Independent Asset

Management, Goldman Sachs Execution & Clearing, L.P.,

and Daniel Zanger, dated August 5, 2005.

Exhibit F E-mail communications.

Exhibit G Instant message communications between George Szele

(gbszele) and Daniel Zanger (chartpattern).

Exhibit H Letter from Butterfield Fund Services (Bermuda) Limited to

Independent Asset Management, LLC, dated December 1,

2006

Dated:New York, New York April 14, 2008 Respectfully submitted,

/s Craig Stuart Lanza

Craig Stuart Lanza (CL-2452) **BALESTRIERE LANZA PLLC** 

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Attorneys for Plaintiff

## **CERTIFICATE OF SERVICE**

I hereby certify that a true copy of the foregoing document was served on the following counsel of record on April 14, 2008, via the methods listed below:

## **By ECF and First Class Mail**

Thomas H. Sear, Esq. Jones Day 222 East 41st Street New York, NY 10017 Attorneys for Defendant

/s Craig Stuart Lanza

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